For Researchers

Checklist for New Risks Associated with Increasing Internationalization and Openness of Research

1. General matters

☐ Does your organization have a system for paying attention to the risks of conflicts of interest and commitment, deteriorating trust*, and technology outflows and information leaks (hereinafter referred to as the "risks") resulting from joint research, human resource exchange, etc. with foreign organizations and universities (including receiving funds, goods such as facilities, equipment, and devices, and human resources), and do you consult with the department in charge at the organization when there is a concern of the risks?

* For example, when applying to a research solicitation, a researcher did not provide information regarding joint research with a foreign organization that is subject to restrictions overseas, resulting in a situation where false statements or conflict of interest are suspected, deteriorating the trust in the researcher.

☐ Are you appropriately reporting to the department in charge the information related to ensuring the transparency of research activities (work history, research history, all organizations you currently belong to and positions there [including concurrent positions, participation in a foreign recruitment program, the position of honorary professor without employment contract, etc.], various support you are receiving from external organizations) based on the rules of the organization you belong to?

2. Matters regarding the procedures for collaboration and agreement with foreign organizations or universities and offering of compensations and goods from foreign countries

☐ When exchanging contracts such as Memorandum of Understanding (MOU), etc. in collaboration and signing agreement with foreign organizations or universities, are you going through an appropriate process such as confirming with or asking for judgment of the department in charge in accordance with rules of the organization you belong to?

- Are you presenting the document?
- Are you presenting information regarding the participating members of your organization and of the counterpart?

☐ When receiving subsidies, grants, compensation*, and goods from foreign organizations or universities, do you report to the organization you belong to? Further, if concerns arise regarding the above-mentioned risks, do you consult with the department in charge at the organization you belong to?

*Compensation: incentive, salary for concurrently held position, prize money, gifts, donations,travel expenses, lecturer's fee, writing fees, etc.

☐ If there is a long-standing collaboration or contract with a foreign organization or university, do you confirm whether there are any changes in the members of the opposite party or details of the joint research? If there is a substantial change, do you report it to the department in charge at the organization you belong to? If concerns arise regarding the above-mentioned risks, do you consult with the department in charge at the organization you belong to?

☐ Do you also recognize the risks in collaborations with foreign organizations or universities that do not involve exchange of contract or offering of compensations and goods? If concerns arise regarding the risks, do you consult with the department in charge at the organization you belong to?

☐ If you go on a business trip to a specific foreign country over a long period of time or frequently, do you report it to the department in charge at the organization you belong to as necessary? If concerns arise regarding the risks, do you consult with the department in charge at the organization you belong to?

☐ In the process of research jointly conducted with foreign organizations or universities, are you paying attention to the risks of joint research being used outside the purpose of the research including those negatively affecting Japan's security, economy, and society, confirming in advance when providing technical information and, appropriately managing the technical information? If the said risks become a concern, do you consult with the department in charge at the organization you belong to?

3. Matters regarding the counterparts of collaborations and agreements with foreign organizations or universities

☐ When entering into collaborations or agreements with foreign organizations or universities, are you confirming the information regarding the organization and participating members of the opposite party and the purpose of the collaboration or agreement?